# ROUNDTABLE ON THE FUTURE OF THE COMMUNITIES AND SMALL-SCALE MINING (CASM) INITIATIVE

### Monday and Tuesday, June 28-29, 2010

#### Location:

World Bank Main Complex Building, Room MC-C1-200 1818 H Street NW Washington, DC

### **Roundtable Objectives:**

- Explore potential vision, mission statement, and mandate for the future of CASM or a new entity that could respond to key artisanal and small-scale mining (ASM) challenges over the next decade
- Identify criteria or and specific options or models for governance, funding, and an institutional home to provide coordinated support to this entity
- Discuss the timeline and process for moving forward, including steps to refine preferred option(s); opportunities for stakeholder consultation and consensus building; and other preparation for the September CASM meeting

### DAY 1: MONDAY, 28 JUNE

# 8:00 a.m. Coffee/Tea and Open Space

Participants are invited to gather early to share updates and ideas prior to the start of the formal agenda.

#### 9:00 – 9:15 Welcome and Introductions

- ASM's increased importance and visibility William Kingsmill, Senior Advisor, Africa Region, World Bank
- CASM's need and opportunity for reinvention Paulo de Sa, Manager, Oil, Gas and Mining Division, World Bank

# 9:15 – 9:30 Meeting Objectives, Agenda Review, and Working Assumptions Jennifer Peyser, RESOLVE (facilitator)

# 9:30 – 11:00 Visioning a New Response to ASM Issues and Sustainable Development

- Presentation: Testing Assumptions Feedback on need and vision received through pre-meeting survey (10 min)
- Facilitated discussion: Vision of key ASM needs and how it could inform a mission statement (80 min)

  Discussion Guidance Questions:

- 1. What's the need? What are the key challenges or roadblocks to solutions related to sustainable development for the ASM sector?
- 2. Which of these needs or challenges represent gaps in capacity, coordination, or other support?
- 3. Where are the most promising opportunities to respond to gaps or enhance existing efforts?
- 4. Based on the overall landscape of ASM needs and opportunities, what might constitute a compelling mission statement?

#### 11:00 – 11:15 Break

### **11:15 – 12:15 Scope, Role, and Outcomes**

- Overview of feedback on a potential scope, role, and outcomes from pre-meeting survey (10 min)
- Facilitated discussion on scope, role, and outcomes, as informed by the vision/mission (50 min)

#### Discussion Guidance Questions:

- 1. What scope or issue sets will be addressed?
- 2. What should be the role of a new entity or type of services/support offered?
- 3. What specifically would you like to see a new entity accomplish in the next decade? What would be the measures of success?
- 4. Does this suite of scope, role, and outcomes inform an overall mandate that would be compelling to the range of potential partners and sectors?

# 12:15 – 12:30 Principles in Designing Options for Governance and Institutional Home

- Overview of feedback on criteria/principles and desirable characteristics of potential governance and housing structures, including models
- Brief Q&A

### 12:30 – 1:00 Break to pick up lunch (provided)

# 1:00 – 2:30 Working Lunch: Small Group Discussions on Options for Governance and Institutional Home

- Small group discussion (note taker needed):
  - 1. What should be the principles in designing a governance structure?
  - 2. What are the key criteria or desirable characteristics of an organization (or organizations) that could provide an institutional home?

- 3. Are there existing models that can provide insights into the design of governance and/or housing?
- Prepare 5-minute maximum report to the full group on 1-3 priority ideas for each of the above questions.

#### 2:30 – 2:45 Break

# 2:45 – 4:30 Report Back and Discussion of Governance and Institutional Home and Criteria

- Report back from each small group on criteria/characteristics for housing and governance arrangements (30 min)
- Facilitated discussion (75 min)

### 4:30 – 5:00 Reflecting on Day 1 and Plans for Day 2

- Recap ideas
- Sketch straw proposals/options
- Homework assignments?

### 5:00 Adjourn

#### 5:00 – 6:30 Cocktails and Hors d'œuvre

## DAY 2: TUESDAY, 29 JUNE

#### 8:30 a.m. Coffee/Tea

# 9:00 – 10:15 Summary of Initial Ideas on Vision, Mission, and Key Characteristics of Governance Structure and Institutional Home *TBD*

- Presentation: Potential sketches/models based on Day 1 discussion (10 min)
- Facilitated discussion: Initial feedback on potential models (65 min)

#### Discussion Questions:

- 1. What are the pros and cons of different models?
- 2. Are there additional models that should be explored?
- 3. Is there a general sense of a preferred model or models?

#### 10:15 – 10:30 Break

### **10:30 – 12:00 Funding Mechanisms**

- Presentation: Overview of feedback on ideas about funding mechanisms from pre-meeting survey (10 min)
- Facilitated discussion: Initial ideas on ideas for funding mechanisms (80 min)

### Discussion Questions:

- 1. Given vision, mission, and criteria/desired characteristics of housing and governance, what are potential funding mechanisms?
- 2. Are there existing funding mechanisms that could serve as a model?
- 3. Are the proposed funding mechanisms sustainable and aligned with the mission?

# 12:00 – 12:15 Break to pick up lunch (provided)

# 12:15 – 1:15 Working Lunch: Revisiting Options/Models for Governance and Institutional Home

 Facilitated discussion on additional feedback regarding housing and governance options/models

### Discussion Questions:

- 1. Based on potential funding, what adjustments to the housing/governance models might be needed?
- 2. What are other key considerations or outstanding questions in refining the options or preferred model(s)?

#### 1:15 – 1:45 Timeline and Milestones

- Discuss the proposed process, timeline, and participants moving forward, including the following:
  - Preparation leading up to final CASM conference (July September)
  - o Initial plans for the September conference
  - Likely need for interim governance process following September
- Identify communication needs (messages and audiences) and roles

### 1:45 – 2:00 **Summary and Next Steps**

- Reminder of action items and leads
- Acknowledgments
- How progress will be communicated