

RESOLVE

CASM 3.0

COLLABORATIVE ACTION FOR
SUSTAINABLE COMMUNITIES AND
RESPONSIBLE SMALL SCALE MINING

CASM Draft Mission Elements

- CASM is a multi-stakeholder organization that aims to promote sustainable development in communities where responsible artisanal and small-scale mining is an important economic activity.
- Stakeholders in CASM hold each other mutually accountable for meeting development objectives set on a global, regional and in-country basis.
- CASM is powered by a global network of **responsible** small scale miners.
- CASM develops actionable policies, tools and products; convenes project teams of experts and stakeholders; creates space for dialogue; and organizes hand-on expertise, services and guidance for its members.

Programs

Policy, Practice and Network Services

- **CASM Members Network/CASM Voice**
 - Members Join, Commit to Advancing Responsible Practices
 - Annual Meeting, Regional Meetings
 - Policies, Tools & Resources (Collaborative Web Toolkit)
 - “Advocacy” Member Leads Plug-In to Key Initiatives (e.g. mercury, EITI)
 - Secretariat Funds and Annual Meeting Sponsorships
- **Responsible ASM Policy Team/Active Archive**
 - CASM Core + Experts Network (Global & Regional, Selective)
 - Policy & Practice Documents, Modules, Training
 - Consulting Services
 - 1/3 Secretariat Funds, 1/3 Project Funds, 1/3 Consulting
- **Sustainable CASM Solutions**
 1. Mercury Control & Reduction Program
 2. Ethical Supply Chains, Certification, Assurance (electronics, jewelry, etc.)
 3. LSM & ASM Solutions
 - Active Field Work, Best Practices, Systems Design, Tools, Training, Workshops, Pilot Projects
 - 100% Project Funding

Programs

Field & In-Country Services

□ **CASM Field Services**

- Response to Site and Regional Challenges
- Organized Regionally
- CASM Organizes Expert Teams to Respond to Specific Needs
- Consulting to Governments, LSM Companies, Supply Chain Companies
- Targeted Donor and Fee-for-Service Support

□ **Sustainability Roadmap Program – For Governments**

- Convene multi-sector teams to support governments in development of sector goals; CASM goals adapted locally; multi-sector review of progress and mutual accountability; supported by network of experts; linked to donor/bank funds; benefits LSM and investors
- Bolsters govt. capacity; improves investor/donor climate
- Project funding, targeted donations, consulting

Programs

Innovation and Fund Management

- **Innovation Fund & Tech Assistance Fund**
 - Fund to Seed Innovation, Solutions, New Tools
 - Advances New Policy Development
 - Limited Number Annually, Selection Conveys Value
 - Targeted Donor Funds
- **Sustainable Community Benefit Funds**
 - Best Practices
 - Program Design
 - Fund Management
 - Evaluation
 - Corporate Partners, Donor Funds

Structure

- **Base:** ASM Members Join Network, Commit to Responsible ASM
- **Board:** Stakeholder Partnership (4 Chambers: Govts, Civil Society, Corporations, ASM)
 - 12 Member Board, 3 Seats Each Sector
 - World Bank Permanent Seat
 - ASM Members Select 3 Seats and Ratify Annual Priorities
 - Donor Committee
- **Staff:** Reports to Board
 - Policy-Tech Staff/Unit at Bank
 - Policy, Convening, Core Staff
 - External Secretariat for Efficiency/Capacity
 - Projects Teams: Bank Sanctioned/Outsourced
 - Housing of Funds TBD

Funding Strategy

- 3 Year Strategy:
 - 3 Year Commitment to 100% Secretariat Funding
 - Additive Project Funds
- Year 3 to 10 Strategy:
 - Secretariat 50% Funded by General Funding
 - Project and Consulting Fee (Additive) Contribute to 50% Balance
- Growing Project, Consulting Revenue Streams
(demonstrates need)

Funding Model Year 1-3

- Sectors Contribute Secretariat Funds
 - Donor Govts: $\frac{1}{2}$ (+/-)
 - Mining Corporations: $\frac{1}{4}$
 - Other Corporations/Foundations: $\frac{1}{4}$
 - ASM Members: Participation
 - ASM Country Members: Participation
 - Civil Society: Participation
 - Civil Society & ASM Members Endorse Fundraising

Current and New Capacities

- **Current Capacities**
 - Network
 - Convening Capacity
 - Policy Tools, Practices, Training
 - Regions
- **New Capacities**
 - Build Experts Network, Bolster Tools & Training
 - Voice to ASM, “Advocacy”
 - Design and Lead Solutions Projects/Programs
 - ASM in Country Govt Support Bolstered

Benefits

- What's different in 5 to 10 years?
 - ▣ Growing constituency of ASM sectors members with a voice
 - ▣ RASM pathways in X countries, matching donor priorities
 - ▣ Dozens of project success stories
 - ▣ CASM seen as a long-term contributor to solutions on conflict metals, supply chain issues, mercury and other issues
 - ▣ Accessible platform for ethical supply chain partnership (utilized)
 - ▣ CASM contributor to other initiatives of value to constituencies (EITI, etc.)
 - ▣ Track record and successful intervention model for LSM/ASM issues
 - ▣ Less conflict on a site/community basis
 - ▣ Growing market incentives for RASM
 - ▣ More investor confidence in regions where CASM is active

Challenges

- Center of Gravity, For Execution & Management, Need Strong Leadership in the Center—More Than a Chair
- Re-Branding (Sustainable CASM) and Creating a New Moment
- Early Confidence & Success
- Signal Efficient Decision-Making & Mutual Accountability
- Funding Leader

For RESOLVE

- Tin/Tantalum Supply Chain Pilot (ITSCI)
- Electronics Sector, Gold Validation/Pilots (ASM)
- Community Benefit Fund/Best Practice/Partnership
- Responsible ASM Benchmarks—Global

RESOLVE

Jennifer Peyser

ipeyser@resolve.org

Stephen D'Esposito

sdesposito@resolve.org

(202)944-2300

www.resolve.org

CONTACT INFO