



## **CASM Development Grant Facility (DGF) Application Guidelines**

Communities and Small-Scale Mining (CASM) was launched in March 2001 by the World Bank and other development organizations to promote a more coordinated and informed global approach to artisanal and small scale mining (ASM) assistance. The overarching goal of CASM is to reduce poverty and to develop sustainable communities and economies where ASM is practiced. To achieve this goal, CASM: (i) collects and collates past experience and current knowledge; (ii) stimulates new approaches and knowledge; and (iii) makes this knowledge accessible to governments, development agencies, mining communities, and other stakeholders, to support and strengthen their efforts to deal with the complex social, economic, and environmental challenges and opportunities presented by ASM.

Stakeholders in CASM include national governments and local authorities, bilateral and multilateral agencies, technical assistance practitioners and researchers, international and local NGOs, mining companies, mineral buyers and fabricators, miners and their associations, and mining-affected communities.

The Development Grant Facility (DGF) has awarded CASM limited funds to provide partnership grants for work with ASM communities or thematic work on ASM that fall within the general objectives of CASM.

### **Selection and Evaluation**

CASM will evaluate applications for DGF funds based on the following criteria:

1. Theme
2. Relevance of work
  - a. Strategic importance in terms of moving forward the work between governments, communities, and miners.
  - b. Contribution to achieving the Millennium Development Goals and other important issues for ASM, such as conflict prevention, post-conflict recovery, displacement, and migration.
  - c. Implications for the broader ASM agenda e.g. regional or sectoral impacts
3. Replicability of the proposed project to other sites and regions.
4. Leverage of DGF funding from other resources
  - a. The DGF grant should generally not exceed 15 percent of total expected costs for the activity.
5. Record of achievement and financial probity.
  - a. The recipient must be a legal entity, with sound financial management, able to provide financial records on the use of the grant funds.
  - b. The quality of the activities implemented by the recipient institution (existing or new) and the competence of its management are important considerations.

6. Reinforce partnerships
  - a. A key element of the DGF is that programs and activities financed under it should **promote and reinforce partnerships** with key players in the development arena, e.g.; multilateral development banks, UN agencies, foundations, bilateral donors, professional associations, research institutions, private sector corporations, NGOs, and civil society organizations.
7. Other criteria
  - a. The project should not compete with regular Bank instruments
  - b. The project should maintain an arm's-length relationship with the Bank's regular programs
  - c. The project should have an explicit disengagement strategy

The maximum size for the grant is US\$60,000

### Application Process

The application should be made to the CASM Secretariat (located at the World Bank Group, Washington DC) by mail, fax or email.

CASM Secretariat  
Attention: Mr. Gotthard Walser  
Mining Department, World Bank Group  
2121 Pennsylvania Avenue, NW  
Washington DC, 20433, USA  
Email: [gwalser@workdbank.org](mailto:gwalser@workdbank.org), [elewin1@worldbank.org](mailto:elewin1@worldbank.org),  
[csheldon@worldbank.org](mailto:csheldon@worldbank.org)  
Fax +1-202-522 0396

The application should include:

- Name and contact details of proponent
- Background of proponent(s)
- Project location
- Overall Project objectives
- Detailed Project description
- Description of Project partners
- Estimated costs and sources of finance
- Timetable and deliverables

Applications should not exceed 5 pages.

Applications will be received on a rolling basis up until September 30, 2005. Projects are expected to be completed within one year of the grant signature.